

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. BT-001

APPLICATION NO. 10/823,043

INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT Tan, B.

FILING DATE: April 12, 2004

GROUP Unknown

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
SBMB	1	6,239,171	May 29, 2001	Lane et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
SBMB	2	Sardi, B., Special Report: Part II Choosing Natural Agents for Cholesterol Control, March 2003.
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EXAMINER	SBMcGinnis-Ewold	DATE CONSIDERED	8-25-05
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SBME	1	2003139467	July 24, 2003	Igarashi			
SBME	2	6441029	August 27, 2002	Elson			
SBME	3	6358997	March 19, 2002	Clark			
SBME	4	6350453	February 26, 2002	Tan			
SBME	5	6083979	July 4, 2000	Sehti			
SBME	6	5756109	May 26, 1998	Burger			
SBME	7	5660691	August 26, 1997	Barnicki			
SBME	8	5602184	February 11, 1997	Myers			
SBME	9	5318993	June 7, 1994	Pearce			
SBME	10	5217992	June 8, 1993	Wright			
SBME	11	5157132	October 20, 1992	Tan			

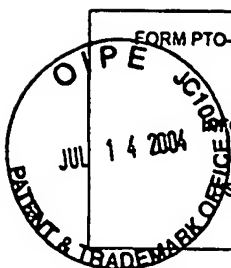
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SBME	12	Anderson, S., J. Qiu, et al. (2003). "Tocotrienols induce IKBKAP expression: a possible therapy for familial dysautonomia." Biochem Biophys Res Commun. 306(1): 303-309.
SBME	13	Araki, Y., et al. (2003). "Human monocyte chemotaxis is induced by glycolaldehyde-derived pyridine (GA-pyridine), one of structures identified from AGE-modified proteins." Diabetes 52(Suppl. 1): A172 extended abstract. 738P.
SBME	14	Cahoon, E., S. Hall, et al. (2003). "Metabolic redesign of vitamin E biosynthesis in plants for tocotrienol production and increased antioxidant content." Nat Biotechnol. 21(9): 1082-1087.

EXAMINER	SB.McCormick-Ewald	DATE CONSIDERED	8.25.05
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
SBME	15	Carr, A. and B. Frei (2000). "The Role Of Natural Antioxidants In Preserving The Biological Activity Of Endothelium-Derived Nitric Oxide." Free Rad. Biol. Med. 28(12): 1806-1814.
SBME	16	Chao, J. (2002). "Inhibitory Effect of d-Tocotrienol, a HMG CoA Reductase Inhibitor, on Monocyte-Endothelial Cell Adhesion." J. Nutr. Sci. Vitaminol. 48: 332-337.
SBME	17	Chen, Y. D. and G. M. Reaven (1998). "Insulin Resistance and Atherosclerosis." Ann. Rev. Diabetes: 105-116.
SBME	18	Colwell, J. (1997). "Aspirin therapy in diabetes." Diabetes Care 20: 1767-1771.
SBME	19	Colwell, J. (2004). "Aspirin therapy in diabetes." Diabetes Care 27(Suppl. 1): S72-S73.
SBME	20	Deepa, R., S. Pillarisetti, et al. (2003). "Elevation of Serum VCAM-1, IL-6, MCP-1 and CRP in Insulin Resistant Prediabetic and Diabetic Asian South Indian Subjects." Diabetes 52(Suppl. 1): A153 extended abstract. 658P.
SBME	21	DeFronzo, R. A. (1998). "Pathogenesis of Type 2 Diabetes: Metabolic & Molecular Implications for Identifying Diabetes Genes." Ann. Rev. Diabetes: 1-93.
SBME	22	Dormann, P. (2003). "Corn with enhanced antioxidant potential." Nat Biotechnol. 21(9): 1015-1016.
SBME	23	Elson, C. E. (1995). "Suppression of Melvalonate Pathway Activities by Dietary Isoprenoids: Protective Roles in Cancer and Cardiovascular Disease." J. Nutr. 125: 1666S-1672S.
SBME	24	Fairus, S., et al. (2003). "Palm Tocotrienols: Tracing its Metabolism and Biokinetics." Prodeedings of PIPOC Food Tech. Nutri.: 236-246.
SBME	25	Farrell, P. and J. Bieri (1975). "Megavitamin E Supplementation in Man." Am. J. Clin. Nutr. 28: 1381-1386.
SBME	26	Festa, A., A. J. Hanley, et al. (2003). "Inflammation in the Prediabetic State Is Related to Increased Insulin Resistance Rather Than Decreased Insulin Secretion." Circulation 108(15): 1822-1830.
SBME	27	Gu, J., et. al (1997). "Combined Effects of Sesamin with Alpha T1 or T3s on Lipid and Immune Indices in Brown-Norway Rats." Nutr. Res. 17: 339-350.
SBME	28	Guillet-Deniau, I., et al. (2003). "Glucose induces de novo fatty acid synthesis in rat skeletal muscle through a SREBP-1c dependent pathway." Diabetes 52(Suppl. 1): extended abstract. 1024P.
SBME	29	Guthrie, N., A. Gapor, et al. (1997). "Inhibition of Proliferation of Estrogen Receptor-negative MDA-MB-435 and-positive MCF-7 Human Breast Cancer Cells by Palm Oil Tocotrienols and Tamoxifen, Alone and in Combination." J. Nutr. 127(3): 544S-548S.
SBME	30	Hayes, K., A. Pronczuk, et al. (1993). "Differences in the plasma transport and tissue concentrations of tocopherols and tocotrienols: observations in humans and hamsters." Proc Soc Exp Biol Med. 202(3): 353-359.

EXAMINER <i>S.B. McCormick-Ewald</i>	DATE CONSIDERED <i>8-27-05</i>
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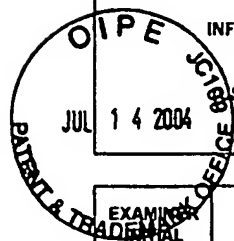
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FILING DATE: April 12, 2004

GROUP Unknown

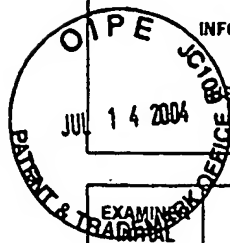


EXAMINER		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
SBME	31	Ikeda, S., T. Tohyama, et al. (2003). "Dietary alpha-tocopherol decreases alpha-tocotrienol but not gamma-tocotrienol concentration in rats." J, Nutr. 133(2): 428-434.
SBME	32	Ima-Nirwana, S., et. al. (2000). "Palm vitamin E prevents osteoporosis in orchidectomized growing male rats." Nat. Prod. Sci. 6: 155-160.
SBME	33	Jaleel, A., et al. (2003). "Identificaiton of amadori modified proteins by western blot and mass spectrometry in plasma of type-2 diabetes patients." Diabetes 52(Suppl. 1): A157 extended abstract. 675P.
SBME	34	Jenkins, A. J. and T. J. Lyons (2000). "Preventing Vascular Disease in Diabetes." Practical Diabetology 19: 19-34.
SBME	35	Jiang, Q., S. Christen, et al. (2001). "Gamma-Tocopherol, the Major Form of Vitamin E in the US Diet, Deserves More Attention." Am. J. Clin. Nutr. 74: 714-722.
SBME	36	Kaku, S., S. Yunoki, et al. (1999). "Effect of dietary antioxidants on serum lipid contents and immunoglobulin productivity of lymphocytes in Sprague-Dawley rats." Biosci Biotechnol Biochem. 63(3): 575-576.
SBME	37	Kamat, J., et al. (1997). "Tocotrienols from Palm Oil as Effective Inhibitors of Protein Oxidation and Lipid Peroxidation in Rat Liver Microsomes." Molecular and Cellular Biochemistry 170: 131-138.
SBME	38	Kamat, J. and T. Devasagayam (1995). "Tocotrienols from palm oil as potent inhibitors of lipid peroxidation and protein oxidation in rat brain mitochondria." Neurosci Lett. 195(3): 179-182.
SBME	39	Khor, H. and T. Ng (2000). "Effects of Administration of a-Tocopherol and Tocotrienols on Serum lipids and Liver HMG CoA Reductase Activity." Int. J. of Food Sci. and Nutr. 51: S3-S11.
SBME	40	Kooyenga, D., T. Watkins, et al. (2001). Antioxidants Modulate the Course of Carotid Atherosclerosis: A Four-year Study. Micronutrients and Health. Molecular Biological Mechanisms. K. Nesaretnam and L. Packer, AOCS Press: 366-375.
SBME	41	Kraegen, E. (1998). "Physiologic manifestations of PPAR-gamma activation: preclinical studies." Clinical Courier 16(48): 5-7.
SBME	42	Lehmann, J. (1981). "Comparative Sensitivities of Tocopherol Levels of Platelets, Red Blood Cells, and Plasma for Estimating Vitamin E Nutritional Status in the Rat." Am J. Clin. Nutr. Res. 34: 2104-2110.
SBME	43	Liao, J. K. (1998). "Endothelium and Acute Coronary Syndromes." Clin Chem. 44: 1799-1808.
SBME	44	Liu, M., R. Wallin, et al. (2002). "Mixed Tocopherols Have a Stronger Inhibitory Effect on Lipid Peroxidation Than a-Tocopherol Alone." J. Cardiovasc. Pharmacol. 39(5): 714-721.
SBME	45	McIntyre, B., K. Briski, et al. (2000). "Antiproliferative and Apoptotic Effects of Tocopherols and Tocotrienols on Preneoplastic and Neoplastic Mouse Mammary Epithelial Cells." P.S.E.B.M 224: 292-301.

EXAMINER S.B. McGinnis - Ewaldt DATE CONSIDERED 8-27-05

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SBME	46	McLaughlin, T., et al. (2003). "Prediction of IR with plasma TG or TG/HDL ratio." Diabetes 52(Suppl. 1): A224 extended abstract. 962P.
SBME	47	Meigs, J., F. Hu, et al. (2003). "Endothelial Dysfunction Predicts Development of Type 2 Diabetes." Diabetes 52(Suppl. 1): A58 extended abstract. 249-OR.
SBME	48	Mensink, R., A. Houwelingen, et al. (1999). "A Vitamin E Concentrate Rich in Tocotrienols Had No Effect on Serum Lipids, Lipoproteins, or Platelet Function in Men With Mildly Elevated Serum Lipid Concentrations." Amer. J. Clin. Nutr. 69(2): 213-219.
SBME	49	Mezey, E., A. Parmalee, et al. (2003). "Of splice and men: what does the distribution of IKAP mRNA in the rat tell us about the pathogenesis of familial dysautonomia?" Brain Res. 983(1-2): 209-214.
SBME	50	Mustad, V., C. Smith, et al. (2002). "Supplementation With 3 Compositionally Different Tocotrienol Supplements Does Not Improve Cardiovascular Disease Risk Factors in Men and Women With Hypercholesterolemia." Am. J. Clin. Nutr. 76(6): 1237-1243.
SBME	51	Nazaimoon, W. and B. Khalid (2002). "Tocotrienol-rich diet decreases AGE in non-diabetic rats and improves glycemic control in streptozotocin-induced diabetic rats." Malay. J. Pathol. 24: 77-82.
SBME	52	Newaz, M. and N. Nawal (1999). "Effect of gamma-tocotrienol on blood pressure, lipid peroxidation and total antioxidant status in spontaneously hypertensive rats." Clin. Exper. Hypertension 21: 11297-11313.
SBME	53	Newaz, M., Z. Yousefipour, et al. (2003). "Nitric oxide synthase activity in blood vessels of spontaneously hypertensive rats: antioxidant protection by gamma-tocotrienol." J Physiol Pharmacol. 54(3): 319-327.
SBME	54	Norazlina, M., et al. (2002). "Tocotrienols are Needed for Normal Bone Calcification of Growing Female Rats." Asia Pacific J. Clin. Nutr.: 194-199.
SBME	55	Packer, L., S. Weber, et al. (2001). "Molecular aspects of alpha-tocotrienol antioxidant action and cell signalling." J. Nutr. 131(2): 369S-73S.
SBME	56	Pearce, B., R. Parker, et al. (1992). "Hypocholesterolemic activity of synthetic and natural tocotrienols." J Med Chem. 35(20): 3595-3606.
SBME	57	Prescott, S. M., T. M. McIntyre, et al. (2001). "Events at the Vascular Wall: The Molecular Basis of Inflammation." J. Invest. Med. 49: 104-111.
SBME	58	Qureshi, A., et al. (2001). "Novel Tocotrienols of Rice Bran Inhibit Atherosclerotic Lesions in C57BL/6 ApoE-deficient Mice." J. Nutr. 131: 1-13.
SBME	59	Qureshi, A., et al. (2002). "Effects of Stabilized Rice Bran, Its soluble and Fiber Fractions on Blood Glucose Levels and Serum Lipid Parameters in Humans with Diabetes Mellitus Type I and II." J. Nutritional Biochemistry 13: 175-187.

EXAMINER <i>S. B. McCannick-Evoldt</i>	DATE CONSIDERED <i>8-27-05</i>
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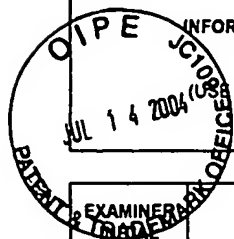
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SBME	60	Qureshi, A., E. Bradlow, et al. (1997). "Novel Tocotrienols of Rice Bran Modulate Cardiovascular Disease Risk Parameters of Hypercholesterolemic Humans." J. Nutritional Biochemistry 8: 290-298.
SBME	61	Qureshi, A., B. Pearce, et al. (1996). "Dietary $\alpha$ -Tocopherol Attenuates the Impact of g-Tocotrienol on Hepatic 3-Hydroxy-3-Methylglutaryl Coenzyme A Reductase Activity in Chickens." J. Nutr. 126: 389-394.
SBME	62	Qureshi, A. and D. Peterson (2001). "The combined Effects of Novel Tocotrienols and Lovastatin on Lipid Metabolism in Chickens." Atherosclerosis 156: 39-47.
SBME	63	Qureshi, A., B. Bradlow, et al. (1995). "Response of Hypercholesterolemic Subjects To Administration of Tocotrienols." Lipids 30: 1171-1177.
SBME	64	Rekeneire, N., R. Peila, et al. (2003). "Inflammation, Insulin, Glucose In Non Diabetic Older Persons. (Epidemiology)." Diabetes 52(Suppl. 1): A218 extended abstract. 937P.
SBME	65	Ridker, P., J. Buring, et al. (2003). "C-Reactive Protein, the Metabolic Syndrome, and Risk of Incident Cardiovascular Events: An 8-Year Follow-Up of 14,719 Initially Healthy American Women." Circulation 107(3): 391-397.
SBME	66	Robbesyn, F., V. Garcia, et al. (2003). "HDL Counterbalance the Proinflammatory Effect of Oxidized LDL By Inhibiting Intracellular Reactive Oxygen Species Rise, Proteasome Activation, and Subsequent Nf-Kappab Activation in Smooth Muscle Cells." FASEB J. 17(6): 743-745.
SBME	67	Saldeen, T., D. Li, et al. (1999). "Differential effects of $\alpha$ - and $\gamma$ -tocopherol on low-density lipoprotein oxidation, superoxide activity, platelet aggregation and arterial thrombogenesis." J Am Coll Cardiol. 34(4): 1208-1215.
SBME	68	Schalkwijk, C., et al. (2003). "Increased accumulation of the glyoxidation product N (carboxymethyl) lysine in hearts of diabetic patients." Diabetes 52(Suppl. 1): A165 extended abstract. 709P.
SBME	69	Sen, C., et al. (2000). "Tocotrienol Potently Inhibits Glutamate-induced pp60c-Src Kinase Activation and Death of HT4 Neuronal Cells." J. Biological Chemistry 275: 13049-13055.
SBME	70	Serbinova, E., V. Kagan, et al. (1991). "Free Radical Recycling and Intramembrane Mobility in the Antioxidant Properties of Alpha-Tocopherol and Alpha-Tocotrienol." Free Rad. Biol. Med. 10: 263-275.
SBME	71	Sheppard, A. J., J. Pennington, et al. (1993). Analysis and Distribution of Vitamin E in Vegetable Oils and Foods. Vitamin E in Health and Disease. L. Packer and J. Fuchs, Marcel Dekker, Inc.: 9-31.
SBME	72	Shi, H., N. Noguchi, et al. (1999). "Formation of phospholipid hydroperoxides and its inhibition by $\alpha$ -tocopherol in rat brain synaptosomes induced by peroxynitrite." Biochem Biophys Res Commun. 257(3): 651-656.

EXAMINER S. B. McConnell-Swoldt

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SBME	73	Smith, S. (1998). "The molecular pharmacology of PPAR-gamma." Clinical Courier 16(48): 3-4.
SBME	74	Sylvester, P. and A. Theriault (2003). "Role of Tocotrienols in the Prevention of Cardiovascular Disease and Breast Cancer." Current Topics in Nutraceutical Research 1(2): 121-136.
SBME	75	Szwergold, B., et al. (2003). "Intracellular nonenzymatic glycation of hemoglobin in human erythrocytes is controlled by enzymatic deglycation mechanisms." Diabetes 52(Suppl. 1): A190 extended abstract. 815P.
SBME	76	Tan, B. (1992). "Antitumor Effects of Palm Carotenes and Tocotrienols in HRS/J Hairless Female Mice." Nutrition Research 12: S163-S173.
SBME	77	Theriault, A., et al (1999). "Tocotrienol: A Review of its Therapeutic Potential." Clinical Biochemistry 32(July): 309-319.
SBME	78	Tomeo, A., et al. (1995). "Antioxidant Effects of Tocotrienols in Patients with Hyperlipidemia and Carotid Stenosis." Lipids 30: 1179-1183.
SBME	79	Traber, M., et al. (1997). "Diet-derived and topically applied tocotrienols accumulate in skin and protect the tissue against ultraviolet light-induced oxidative stress." Asia Pacific J. Clin. Nutri. 6: 63-67.
SBME	80	Traber, M., et al. (1998). "Penetration and distribution of alpha-tocopherol, alpha- or gamma-tocotrienols applied individually onto murine skin." Lipids 33: 87-91.
SBME	81	Tsai, A., J. Kelly, et al. (1978). "Study on the Effect of Mega-Vitamin E Supplementation in Man." Am. J. Clin. Nutr. 31: 831-837.
SBME	82	Wallace, A., D. Chinn, et al. (2003). "Taking simvastatin in the morning compared with in the evening: randomised controlled trial." BMJ 327(7418): 788.
SBME	83	Watkins, T., M. Geller, et al. (1999). "Hypocholesterolemic and antioxidant effect of rice bran oil non-saponifiables in hypercholesterolemic subjects." Environmental & Nutritional Interactions 3: 115-122.
SBME	84	Watkins, T., M. Bierenbaum, et al. (1999). Tocotrienols: biological and health benefits. Antioxidant Status, Diet, Nutrition, and Health. A. M. Papas, CRC Press: 479-496.
SBME	85	Weber, C., et al. (1997). "Efficacy of Topically Applied Tocopherols and Tocotrienols in Protection of Murine Skin from Oxidative Damage Induced by UV-Irradiation." Free Radical Biology and Medicine. 22: 761-769.
SBME	86	Yap, S., K. Yuen, et al. (2001). "Pharmacokinetics and bioavailability of alpha-, gamma- and delta-tocotrienols under different food status." J Pharm. Pharmacol. 53(1): 67-71.
SBME	87	Yoshida, Y., et al. (2003). "Comparative Study on the Action of Tocopherols and Tocotrienols as Antioxidant: Chemical and Physical Effects." Chemistry and Physics of Lipids 123: 63-75.
SBME	88	Yu, W., M. Simmons-Menchana, et al. (1999). "Induction of Apoptosis in Human Breast Cancer Cells by Tocopherols and Tocotrienols." Nutrition and Cancer 33: 26-32.

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